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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

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Application Number	10/733,808	
Filing Date	December 10, 2003	•
First Named Inventor	Chris Hopen	
Art Unit	2154	•
Examiner Name	Joshua Joo	•
Attorney Docket Number	PA4407US	

			U. S. PATEN	DOCUMENTS		
Examiner Cite Initials* No.1		Document Number Number-Kind Code ^{2 (f known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevan Figures Appear	
22		^{US-} 6,246,755	06-12-2001	Walker et al.		
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FOREIGN PATENT DOCUMENTS								
Examiner Cite	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages				
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Examiner	0 4		Date	101
Signature	Joshn	70\	Considered	10110107
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute	e for form 1449/PTO				Complete if Known	
				Application Number	10/733,808	
INFC	PRMATION	I DIS	CLOSURE	Filing Date	December 10, 2003	
STA	TEMENT E	BY A	PPLICANT	First Named Inventor Chris Hopen		
,	(Use as many sheets as necessary)			Art Unit	2154	
less to many shoots as necessary				Examiner Name	Joshua Joo	
Sheet	2	of	3	Attorney Docket Number	PA4407US	

<u> </u>		NON PATENT LITERATURE DOCUMENTS	i
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
27		"SA-1000: An entry-level SSL VPN solution for secure access," Aventail Data Sheet, 2003 Aventail Corporation.	
33		"Roaming Internet Access: Easy. Cost-effective. Global," Aventail.Net Manged Service, Aventail Data Sheet, 2003 Aventail Corporation.	
55		"Managed Directory: Reduce Administration. Enable single sign-on," Aventail.Net Managed Service, Aventail Data Sheet, 2003 Aventail Corporation.	
33		"User Management: Simplified administration. Fast user activation," Aventail.Net Manged Service, Aventail Data Sheet, 2003 Aventail Corporation.	
33		"Aventail EX-1500," SC Magazine Best Buy, Groupt Test VPNs, SC Magazine September 2003.	
3)		Aventail, Corporate Overview, 2002 Aventail Corporation.	·
35	,	MICHAEL VIZARD, "Aventail CEO Evan Kaplan explains why infrastructure service providers are emerging as strategic IT partners," Interviews, InfoWorld, June 8, 2001, 2001 InfoWorld Media Group, Inc.	
33	:	HEATHER B. HAYES, "Security for hire," Professional Edge, InfoWorld, December 10, 2001, Issue 50, 2001 InfoWorld Media Group, Inc.	
33		Awards and Recognition, Aventail, 2003 Aventail Corporation.	
33		"Aventail EX-1500," Product Data Sheet, 2003 Aventail Corporation.	

Examiner Signature Joshu Jen	Date Considered	10/10/07
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Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The Information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:

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Substitute for form 1449	/PTO	,	Complete if Known		
			Application Number	10/733,808	
INFORMAT	ION DIS	CLOSURE	Filing Date	December 10, 2003	
STATEMENT BY APPLICANT			First Named Inventor	Chris Hopen	
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(Use as many sheets as necessary)			Examiner Name	Joshua Joo	
Sheet 3	of	3	Attorney Docket Number	PA4407US	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
3 3		Field Notes, "Outsourcing Can Help," ComputerWorld, July 15, 2002, Vol. 36, No. 29, 2002 Computerworld, Inc.	
3 3.		ANN BEDNARZ et al., "The 50 Most Powerful People in Networking," Network World Reprint, October 21, 2002, Vol. 19, No. 42, 2002 Network World, Inc.	
33		CHRIS HOPEN, "Face-off: Are SSL-based VPNs a better bet than IPSec VPNs?", Network World Reprint, August 4, 2003, Vol. 20, No. 31, 2003 Network World, Inc.	
33		MARK BRADSHAW, "Virtually Complete," European Communications, Reprinted from Summer 2003, www.eurocomms.co.uk.	
33		"Aventail Anywhere VPN Module," Aventail Technical Overview, 2002 Aventail Corporation.	
50		"SA-900: An enterprise-class SSL VPN solution for secure access," Aventail Data Sheet, 2003 Aventail Coporation.	
3)		"Aventail ExtraWeb Module," Aventail Technical Overview, 2002 Aventail Corporation.	-
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Examiner	Joseph Jen	, Date	10/10/07
Signature	Joseph Jel	Considered	101.010 /

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¹ Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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Sheet

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known			
Application Number	10/733,808		
Filing Date	December 10, 2003		
First Named Inventor	Chris Hopen		
Art Unit	2154		
Examiner Name	Joshua Joo		
Attorney Docket Number	PA4407US		

Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
initials*	Cite No.1	Number-Kind Code ^{2 (f known)}	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
22		^{US-} 6,473,802	10-29-2002	Masters	
33		^{US-} 6,374,300	04-16-2002	Masters	•
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Examiner Initials*	Cite No.	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code ³ "Number ⁴ "Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T⁰
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Examiner Signature	Joshn Joo	Date Considered	10/10/07	

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Substitute	Substitute for form 1449A/PTO			Complete if Known		
				Application Number	10/733,808	
INFORMATION DISCLOSURE			CLOSURE	Filing Date	December 10, 2003	
STAT	STATEMENT BY APPLICANT		First Named Inventor	Chris A. Hopen		
				Art Unit	TBD .	
	(Use as many sheets as necessary)			Examiner Name	TBD	
Sheet	1	of	2	Attorney Docket Number	005313.00016	

			U.S. PATENT D	OCUMENTS	
Examiner Initials *	Cite No.1	Document Number Number - Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
22	1	US- 6,138,153	October 24, 2000	Collins III, et al.	
	2	US- 6,052,780	April 18, 2000	Glover	
	3	US- 6,701,437	March 2, 2004	Hoke	
	4	US- 6,850,943	February 1, 2005	Teixeira, et al.	
	5	US- 6,873,988	March 29, 2005	Herrmann, et al.	
	6	US- 6,920,502	July 19, 2005	Araujo, et al.	
	7	US- 2003/0196091	October 16, 2003	Raley, et al.	
	8	US- 2003/0191944	October 9, 2003	Rothrock	
1	9	US- 2003/0229613	December 11, 2003	Zargham	
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	FOREIGN PATENT DOCUMENTS						
Examiner Cite	Cite	Foreign Patent Document		Name of Patentee or	Pages, Columns, Lines, Where Relevant		
Initials*	No. ¹	Country Code ³ - Number ⁴ - Kind Code ⁵ (<i>if known</i>)	Date MM-DD-YYYY	Applicant of Cited Document	Passages or Relevant Figures Appear	T ₀	
33	10	EP 1308822	May 7, 2003	Asgent Inc.			
33	11	EP 0804012	October 29, 1997	Nokia Mobile Phones, Ltd.			
IJ	12	WO 99/57866	Novembe r 11, 1999	Auric Web Systems			
3 3	13	WO 02/099571	Decembe r 12, 2002	Fujitsu Network Communication s			

Examiner		Date	10/10/07	
Signature	Joshu Jak	Considered	10/10/07	

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Substitute	for form 1449B/PTO)		Complete if Known		
INEO		DIC	CLOSUDE	Application Number	10/733,808	
-	_	_	CLOSURE	Filing Date	December 10, 2003	
SIAI	STATEMENT BY APPLICANT			First Named Inventor	Chris A. Hopen	
				Art Unit		
	(Use as many she	ets as	necessary)	Examiner Name		
Sheet	2	of	2	Attorney Docket Number	005313.00016	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
IJ	14	Communication Relating to the International Search Report and The Written Opinion of the International Searching AUthoirty in Corresponding PCT Appln. No. PCT/US2004/041487	
33	15	SHERYL CANTER, "Kill Internet Ads with HOSTS and PAC files," 'Online!' March 20, 2004, Retrieved from website: http://web/archive.org/web20040426140542/http://windowsdevcenter.com/pub/a/windows/2004/0	
IJ	16	ULRICH KRITZNER: "Objektreferenz-Das navigator-Objekt" JAVASCRIPT-TUTORIAL, 'Online!' 31 March 2002 (2002-03-31), XP 002331683 Retrieved from website: http://web/archive.org/web/20020331004028/http://js-tut.aardon.de/js-tut/anhangA/navigator.html	

Examiner Signature	John Joh	Date Considered	10/19/07	

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INFORMATION DISCLOSURE	Filing
STATEMENT BY APPLICANT	First N

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	Complete if Known	`
Application Number	10/733,808	
Filing Date	12/10/2003	
First Named Inventor	Christopher A. Hopen, et al.	
Art Unit	2121	
Examiner Name	TBD	
Attorney Docket Number	005135.00016	

			U.S. PATENT D	OCUMENTS	
Examiner Initials *	Cite No.1	Document Number Number - Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant
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33	 	US-6,128,279	10/03/2000	O'Neil et al.	
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		Country Code ⁸ - Number ⁸ - Kind Code ⁸ (# known)				7⁰			
5 5		WO 02/37799	05/10/2002	The Board of Regents Of The University of Nebraska					

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Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Τ2			
222		Communication Relating to the International Search Report and The Written Opinion of the International Searching Authority in corresponding PCT application, application number PCT/US2004/041183 dated March 15, 2005				

Examiner Signature	Joshua Jean	Date Considered	10/10/07	
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